

# 173(6): Hamiltonian Formulation of Fermi Equation.

It was proved in note 173(3) that the fermi eq. gives the result:

$$E \phi^L = \hat{H} \phi^L \quad - (1)$$

where:  $\hat{H} = mc^2 + e\phi + \frac{1}{2m} \frac{\sigma \cdot \Pi}{\hbar} \left( 1 - \frac{e\phi}{2mc^2} \right) \frac{\sigma \cdot \Pi}{\hbar}$  - (2)

Note carefully that this result corresponds to positive energy, i.e.

$$E = (p^2 c^2 + m^2 c^4)^{1/2} \quad - (3)$$

We also have  $E \phi^R = \hat{H} \phi^R$  - (4)

and  $E \psi = \hat{H} \psi$  - (5)

where  $\psi = \begin{bmatrix} \psi_1^R & \psi_2^R \\ \psi_1^L & \psi_2^L \end{bmatrix}$  - (6)

In the positive representation eq. (5) is:

$$i\hbar \frac{\partial \psi}{\partial t} = \hat{H} \psi \quad - (7)$$

The expectation value of a quantity  $A$  is:

$$\langle \hat{A} \rangle = \int \psi^* \hat{A} \psi d^3x \quad - (8)$$

where  $\psi^+$  is the Hermitian conjugate of  $\psi$  and  
where  $\psi^*$  is the complex conjugate of  $\psi$ .

Therefore:

$$\frac{d\langle \hat{A} \rangle}{dt} = \frac{d}{dt} \int \psi^*(t) \hat{A} \psi(t) d^3x \quad - (9)$$

The operator  $\hat{A}$  acts on the wavefunction  $\psi(t)$ . The operator  $\hat{A}$  itself is independent of time (Atkins, 2nd ed, page 97) but acquires a time dependence by acting on a time dependent wavefunction. So:

$$\frac{d\langle \hat{A} \rangle}{dt} = \int \left( \frac{\partial \psi^*}{\partial t} \right) \hat{A} \psi d^3x + \int \psi^* \hat{A} \left( \frac{\partial \psi}{\partial t} \right) d^3x \quad - (10)$$

by the Leibniz rule.  
Now use the time dependent Schrodinger equation:

$$i\hbar \frac{\partial \psi}{\partial t} = \hat{H} \psi \quad - (11)$$

where  $\hat{H}$  is the Hamiltonian operator. The complex conjugate of eq. (11) is:

$$-i\hbar \frac{\partial \psi^*}{\partial t} = \hat{H} \psi^* \quad - (12)$$

Therefore using eqs (11) and (12) in eq. (10):

$$\frac{d\langle \hat{A} \rangle}{dt} = \frac{1}{i\hbar} \left( - \int (\hat{H} \psi^*) \hat{A} \psi d^3x + \int \psi^* \hat{A} (\hat{H} \psi) d^3x \right) \quad - (13)$$

Now use the Hermiticity of the Hamiltonian operator (Atkins, p. 88, 2nd ed.)

$$\int \psi^* \hat{H} \psi d^3x = \int (\hat{H} \psi)^* \psi d^3x \quad - (14)$$

to find that:

$$\frac{d}{dt} \langle \hat{A} \rangle = \frac{1}{i\hbar} \left( - \int \psi^* \hat{H} \hat{A} \psi d^3x + \int \psi^* \hat{A} \hat{H} \psi d^3x \right) \quad (15)$$

$$= \frac{1}{i\hbar} \int \psi^* (\hat{H} \hat{A} - \hat{A} \hat{H}) \psi d^3x$$

$$\frac{d}{dt} \langle \hat{A} \rangle = \frac{1}{i\hbar} \langle [\hat{H}, \hat{A}] \rangle \quad (15a)$$

So:

$$\boxed{\frac{d\hat{A}}{dt} = -\frac{i}{\hbar} [\hat{H}, \hat{A}]} \quad (16)$$

Eq. (15a) means that the expectation value is constant in time if  $\hat{A}$  commutes with  $\hat{H}$ . In this case  $\hat{A}$  is a constant of motion. with conserved expectation values

For a constant of motion:

$$[\hat{H}, \hat{A}] = 0 \quad (17)$$

For linear momentum is a derivative:

$$\hat{H} = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V(x) \quad (18)$$

$$\hat{p} = \hat{A} = \frac{\hbar}{i} \frac{d}{dx} \quad (19)$$

Derivatives commute, so:

$$[\hat{H}, \hat{p}] = \frac{\hbar}{i} [V(x), \frac{d}{dx}] \quad (20)$$

and

$$\begin{aligned}
 \text{b) } [\hat{H}, \hat{p}] \psi &= \frac{\hbar}{i} [V(x), \frac{d}{dx}] \psi \\
 &= \frac{\hbar}{i} \left( V(x) \frac{d\psi}{dx} - \frac{d}{dx} (V(x) \psi) \right) \\
 &= \frac{\hbar}{i} \left( V(x) \frac{d\psi}{dx} - V(x) \frac{d\psi}{dx} - \frac{dV(x)}{dx} \psi \right) \\
 &= - \frac{\hbar}{i} \frac{dV}{dx} \psi = i \hbar \frac{dV}{dx} \psi \quad - (15)
 \end{aligned}$$

Therefore  $\frac{d}{dt} \langle \hat{p} \rangle = - \langle \frac{dV}{dx} \rangle = - \frac{dV}{dx}$  - (16)

In classical dynamics, the force is:

$$F = - \frac{dV}{dx} \quad - (17)$$

so the momentum is constant in the absence of force. This is Newton's first law. From eq. (16):

$$\langle \hat{F} \rangle = \frac{d}{dt} \langle \hat{p} \rangle \quad - (18)$$

which is Newton's second law.

In relativistic mechanics the Newtonian laws are replaced by eq. (3), i.e. by:

$$\underline{p} = \gamma m \underline{v} \quad - (19)$$

$$E = \gamma m c^2 \quad - (20)$$

$$\gamma = \left( 1 - \frac{v^2}{c^2} \right)^{-1/2} \quad - (21)$$

5) The Hamiltonian for the interaction of the fermion and electromagnetic field is eq. (2), which may be expanded as:

$$\hat{H} = mc^2 + e\phi + \frac{1}{2m} (\underline{p} - e\underline{A})^2 - \frac{e\hbar}{2m} \underline{\sigma} \cdot \underline{B} - \frac{e}{4m^2 c^2} \underline{\sigma} \cdot \underline{\nabla} \phi \underline{\sigma} \cdot \underline{\nabla} \quad - (22)$$

where

$$\underline{\nabla} = \underline{p} - e\underline{A}, \quad - (23)$$

$$\underline{p} = -i\hbar \underline{\nabla} \quad - (24)$$

The Hamiltonian operator  $\hat{H}$  of the fermion equation commutes with constants of motion. The last term in eq. (22) may be expanded as follows:

$$\begin{aligned} \underline{\sigma} \cdot \underline{\nabla} \phi \underline{\sigma} \cdot \underline{\nabla} &= \underline{\sigma} \cdot (\underline{p} - e\underline{A}) \phi \underline{\sigma} \cdot (\underline{p} - e\underline{A}) \quad - (25) \\ &= \underline{\sigma} \cdot \underline{p} \phi \underline{\sigma} \cdot \underline{p} + \dots \\ &= -i\hbar \underline{\sigma} \cdot \underline{\nabla} (\phi \underline{\sigma} \cdot \underline{p}) \end{aligned}$$

using the Leibniz rule:

$$\underline{\nabla} (\phi \underline{\sigma} \cdot \underline{p}) = \underline{\nabla} \phi \cdot (\underline{\sigma} \cdot \underline{p}) + \phi \underline{\nabla} \cdot (\underline{\sigma} \cdot \underline{p}) \quad - (26)$$

The electric field strength  $\underline{E}$  is:

$$\underline{E} = -\underline{\nabla} \phi \quad - (27)$$

b) So:

$$\underline{\sigma} \cdot \underline{\hat{\pi}} \phi \underline{\sigma} \cdot \underline{\hat{\pi}} = -i \frac{\hbar}{2} \underline{\sigma} \cdot \underline{E} \underline{\sigma} \cdot \underline{p} + \dots - (28)$$

Now use:

$$\underline{\sigma} \cdot \underline{E} \underline{\sigma} \cdot \underline{p} = \underline{E} \cdot \underline{p} + i \underline{\sigma} \cdot \underline{E} \times \underline{p} - (29)$$

So:

$$\underline{\sigma} \cdot \underline{\hat{\pi}} \phi \underline{\sigma} \cdot \underline{\hat{\pi}} = -\frac{e\hbar}{4m^2c^2} \underline{\sigma} \cdot \underline{E} \times \underline{p} + \dots (30)$$

and this is the spin orbit term with the Thomas factor of  $\frac{1}{2}$  in the denominator.

Therefore the gamma equation produces:

$$\hat{H} = mc^2 + e\phi + \frac{1}{2m} (p - eA)^2 - \frac{e\hbar}{2m} \underline{\sigma} \cdot \underline{B} - \frac{e\hbar}{4m^2c^2} \underline{\sigma} \cdot \underline{E} \times \underline{p} + \dots - (31)$$

The equation of motion for any operator  $\hat{A}$  is:

$$\frac{d\langle \hat{A} \rangle}{dt} = -\frac{i}{\hbar} \langle [\hat{H}, \hat{A}] \rangle - (32)$$

There are several other terms in eq. (31), e.g. the Darwin term and crossed electric/magnetic effects.